

Rotherham Schools' Forum

Venue: Rockingham Professional Development Centre **Date: Friday, 14 October 2016**

Time: 10.00 a.m.

A G E N D A

1. Appointment of Chair for the 2016/17 School Year
2. Appointment of Vice-Chair for the 2016/17 School Year
3. Apologies for Absence
4. Learning Community and Stakeholder Representatives confirmation and nomination/election
5. Minutes of the Previous Meeting held on 17 June 2016 (Pages 1 - 3)
6. Matters Arising from Previous Minutes
7. Total Schools Budget 2015/16 - Out-turn (Pages 4 - 11)
8. Budget Monitoring to 31st August 2016 (Pages 12 - 14)
9. Early Years National Funding Formula - Consultation Update (Page 15)
10. Schools Revenue Funding 2017/2018 - National Funding Formula/Direct Schools Grant Allocation - Update (Page 16)
11. High Needs - Update
12. Statistical Neighbours 2015/16 formula values (Page 17)
13. Date of Next Meeting
Friday 25th November 2016
Friday 13th January 2017
Friday 10th March 2017

(Easter holidays 8th – 23rd April 2017)

Friday 28th April 2017
Friday 16th June 2017

8.30 a.m. start venue is the Rockingham Professional Development Centre

Present:- D. Naisbitt (Oakwood) (in the Chair).

Learning Community representatives: - T. Mahon (Saint Bernard's), D. Ball (Aston), P. Di'lasio (Wales), L. Pepper (Clifton), P.Dobbin (Winterhill)

Other stakeholders: - P. Bloor (PRUs), J. Mott (Special Schools), M. Badger (Support Staff Trades Unions). J. Thomas (Trade Union)

Also in attendance: - P. Williams (CYPS), K. Borthwick (CYPS), C. Harrison (CYPS), D. Fenton (CYPS),
D. Ashmore (Teaching School), V. Njelic (Financial Services). A. Richards (Secondary Governors), P.R and M Group, Rachael McHaffie (CYPS – Minutes)

Apologies for absence had been received from: - S. Brook (Teaching Trade Unions), J. Morrison (Swinton), Kay Sherburn, (Rawmarsh), S. Scott (Early Years PVI, Fred Sprague (NUT), H. Etheridge (Democratic Services), Ian Holborn (Dinnington and Brinsworth), P. Gerard (Nursery), M. Young (Clifton),

37. DECLARATIONS OF INTEREST

No Declarations of interest were made

38. MINUTES AND MATTERS ARISING FROM THE PREVIOUS MEETING HELD 22ND APRIL 2016

HE sent apologies for absence and for being unable to provide hard copies of papers. RM has stepped in to minute the meeting. Any votes which may be required during the meeting will have to be deferred as there is no representation from Democratic Services.

DN noted that the Forum is taking a different shape, in a changing landscape, with changing relationships and less adversarial.

The minutes of the previous meeting were considered and reviewed with the following amendments to be made:

Item 34 – title to be amended to SEMH Update

Item 35 – amend 'Future financial year contributions would revert back to £60K. Should read 'In the first instance financial year contributions would revert back to £90K'.

SEMH Update

PW's report was very useful. PW to pull together a summary of High Needs.

Part one of the SEND Funding Consultation process is now closed and the second part is not yet open.

PW, VN and DN responded to the first part of the Consultation.

DN thanked PW for her ongoing updates and welcomes further attendance at Forum.

PD commented that it is important that as many people contribute to the National Funding Formal consultation as possible.

In regards to funding, the size of the school will be very important and smaller schools may be disadvantaged if based on a per capital funding formula.

KB reported that partnership work with Lincolnshire CC will support work going into Rotherham's improvement journey.

DN welcomes colleagues bringing updates to Forum either via himself or HE on areas that they involved in.

39. EASTWOOD VILLAGE PRIMARY SCHOOL – LESSONS LEARNED REPORT.

Dean Fenton, Service Lead for School Planning, Admissions and Appeals was in attendance to review lessons learned during the planning, development and opening on the Eastwood Village School. These will be valuable during the process to open new schools on the Waverley and Bassingthorpe Farm sites.

Circumstances are very different for the two new sites, as the need is being determined by new housing development, where as the Eastwood Village was built to fulfil basic needs for additional places within the Central area, especially where PAN were breached. DF thanked Heads for accepting increased pupils numbers until the school was opened.

Eastwood Village school project was undertaken over a two year period, the Waverley and Bassingthorpe projects will have four years and various stages of the development will be triggered by the number of occupied dwellings and funding will be released for each stage.

Admissions department will be able to provide updates to schools on applications weekly if necessary. DF to pull together a list of children that are currently within the Waverley catchment area that are attending other local schools. Brinsworth Howarth and Catcliffe are currently the temporary catchment areas for the Waverley estate.

Waverley School will open initially with one form entry, up to Year 4 only. This will limit impact on neighbouring schools and maintain Y5/6 friendship groups for transition to Secondary education.

KB commented that there are concerns that the new school will attract a drift of Sheffield applications for Waverley, which could cause difficulties for neighbouring Sheffield schools.

Reports will be presented to Cabinet in July on the proposed Waverley School plans and on increased capacity into Secondary Schools.

DN thanked DF for the report and for the work involved and encouraged further open, honest, transparent approach to the planning for the expansions.

40. INFORMATION SHARING AND DISCUSSION ON THE PROPOSED NATIONAL FUNDING FORMULA (NFF)

Key colleagues have an understanding of the NFF. DN asked colleagues to contribute to a list of questions to be sent to HE for collation and inclusion in the minutes for the next meeting during the Autumn Term.

The LA contributed to Stage 1 of the Consultation but the analysis has yet to be published. Stage 2 of the consultation has still to be started (delayed due to purdah) and will include more detail/values will be set within the formula.

16/17 budget will be re-aligned to the schools block.

The results of the EU Referendum may impact on Stage 2.

DAshmore is concerned about how many Heads are unaware of the NFF as they will need be to start planning for a potentially decreased budget.

The High Needs Block funds will remain with the LA to maintain economies of scale.

VN circulated data which was reviewed and commentary given.

DN thanked VN for her input and suggested that RSF colleagues raise awareness within their communities. Any questions to be emailed to HE and answers will be explored in time for the next meeting in the Autumn Term.

41. FUNDING FOR SPONSORED ACADEMISATIONS – INITIAL DISCUSSION

The Government, as set out in the white paper, an aim to have all schools academised. However there has been a recent change in this policy drive.

Where schools convert as a sponsored, any deficit budget is picked up by the LA which is putting a huge strain on the budget and will impact on all Council services. The LA are looking at how other LA's are dealing with this issue and this will be shared with the RSF.

The LA will look at individual school balances

42. SEND UPDATE

PW gave a presentation update on the ongoing SEND partnership work (attached).

43. DATE AND TIME OF NEXT MEETINGS (ACADEMIC YEAR 2016/17)

Those present agreed that the time, venue and frequency of the RSF Meetings are still appropriate and DN will work with HE to timetable meeting for the 2016/17 academic year and to look for another member of Democratic Services to take over whilst HE is on Maternity Leave.

Chair and Vice Chair to be elected at the next meeting. The group do not currently have a Vice Chair and this will be addressed at the next meeting.

Members agreed to an emergency meeting should the consultation on NFF determine a need.

DN closed the meeting by extending thanks to all members for their support and challenge over the last academic year and wished everyone a good summer.

Summary Sheet

Report

Rotherham Schools Forum – 14th October 2016

Title

Rotherham Schools Budget Outturn Report for the period ending 31st March 2016

Is this a Key Decision and has it been included on the Forward Plan

No

Report Author(s)

Andrea Baldwin – CYPS Principal Finance Officer, Finance & Customer Services
Email: andrea.baldwin@rotherham.gov.uk

Ward(s) Affected

All

Executive Summary

This Budget Outturn Report confirms the Total Schools Budget allocation for 2015/16 and provides a financial year end outturn position for this budget based on actual income and expenditure for the financial year ended March 2016.

The Total Schools Budget after confirmation of the final Dedicated Schools Grant allocation, the EFA Post 16 SEN Funding for 2015/16 and the DSG carry forward from 2014/15 was £126,631m (after deductions for Academy recoupment).

The Total Schools Budget Outturn for 2015/16 based on expenditure and income to the end of March 2016 was an under spend of £24k (0.02% under budget) including the agreed carry forwards from 2014/15 to 2015/16 financial year.

The main reasons for the forecast variance on each Funding Block are:

- Schools Block
 - Underspends – £598k due to unallocated funding for Schools in Financial Difficulty £83k, School rates budget £520k, copyright licences for schools £16k, Contingency balance for outstanding recoupment adjustment for Eastwood Village Primary School £130k, underspend on servicing of School Forum £2k.
 - Offset by over allocation of Pupil Growth Fund £153k.
- High Needs Block
 - £1,005m overspend due to the recurring deficit carried forward grant position from previous years which has to be addressed through the Councils current financial year DSG allocation. This resulted in a budget allocation which was inadequate to wholly fund the cost of education placements in independent and non-maintained Special Schools. Additional pressure on the High Needs Budget as a result of transferring £321k grant to the Social Care Placement budget, to cover the educational element of 7 in year placements.
- Early Years Block
 - £431k under spend due to a lower than anticipated number of pupils in Private Voluntary and Independent settings accessing funding for disadvantaged 2 year olds and funding for 3 and 4 year old entitlement to 15 hours free education. Part of the underspend is a result of delays in the tendering process for a new Early Years IT system.

Recommendations

1. School Forum notes the Total Schools Budget outturn position for the year 2015/16.
2. School Forum notes the proposed carry forward balances from 2015/16 to 2016/17.

List of Appendices Included

Appendix 1 – Total Schools Budget Outturn as at 31st March 2016

Background Papers

None

Consideration by any other Council Committee, Scrutiny or Advisory Panel

No

Council Approval Required

No

Exempt from the Press and Public

No

Rotherham Schools Budget Outturn Report for the year ending 31st March 2016

1 Recommendations

- 1.1 That School Forum receives and notes the Total Schools Budget outturn position for the year 2015/16.
- 1.2 That School Forum receives and agrees the proposed carry forward balances from 2015/16 to 2016/17.

2. Background

- 2.1 This report presents details of spending against budget for the Total Schools Budget covering the 12 month period of the 2015/16 financial year – April 2015 to March 2016.

3. Key Issues

- 3.1 The table below shows the outturn position for each funding block against agreed revised budgets:

Table 1: Summary Schools Outturn

	Revised Budget	Actual Outturn	Variations	
	31 st March 2016	31 st March 2016	Overspend (+)	Underspend (-)
	£000	£000	£000	%
Total Schools Block	90,277	89,679	-598	0.7%
Total High Needs Block	21,922	22,927	1,005	5%
Total Early Years Block	13,482	13,051	-431	-3%
TOTAL FORECAST OUTTURN POSITION	126,631	126,607	-24	-0.02%

A more detailed analysis including the original budget, grant adjustments, budget virements, carry forward balances and final outturn for the financial year ended 31st March 2016 is included within Appendix 1.

3.2 Delegated School Budgets

For the purposes of this report the DSG is estimated to be in a balanced position. The final outturn position on individual school balances is reported separately.

- 3.3 Presented below is a brief analysis of the main variations compared to budget for each funding block.

Schools Block (£598k underspend)

3.4 School Rates (£520k)

The rates budget included a carry forward of £473k from the Schools Block in 2014/15 to offset potential rate increases in future years.

3.5 Schools Contingencies (£78k)

- Unallocated Schools in Financial Difficulty Funding £83k;
- Servicing of School Forum budget £2k;
- Copyright licences for Schools £16k;
- Academy recoupment of £130k for Eastwood Village School, this will be adjusted by the DFE in the 2016/17 financial year.
- Over spend on Pupil Growth fund allocations of £153k.

High Needs Block (£1,005m overspend)

3.6 SEN Placements and Top up Funding – £1,528m

An overspend of £1.443m on out of authority independent non-maintained School placements. Other pressure included: specialist packages of support £160k; specialist education equipment £42k; Speech and Language Therapy £33k; and additional top up funding for exceptional needs £95k. This was offset by income from schools for permanently excluded pupils of £166k and £79k additional income from the Clinical Commissioning Group (Health contribution towards placement costs).

3.7 Post 16-24 SEN Provision (£137k under spend)

Overspend of £384k on the post 16 element 3 year old top up funding to Further Education Providers (230 in year placements costing £2.032m) offset by additional income of £521k from the Clinical Commissioning Group. The weekly cost of mainstream and local provision is £146 with an average cost of independent specialist provision for high needs students at £715. Based on an overall average cost of £199 the current budget can fund 218 placements.

3.8 SEN Complex Needs Placements (£568k under spend)

There was an under spend on High Needs out of authority placements in independent non-maintained Special Schools for pupils with statements of SEN and Education, Health and Care Plans. (31 placements in year costing £2.420m and £35k costs for complex support packages) The average weekly cost of a 52 week residential placement is £3,385 and average of a 38 week day placement is £1,114 (average weekly cost of current places £2,019). The placement costs have been offset by income from the Clinical Commissioning Group of £313k and £731k placement costs have been reported against revenue funding to cover the Social Care element of those placements.

3.9 Social Care Placements (£321k over spend)

High Needs funding of £321k has been transferred to the Safeguarding Children and Families placement budget to cover the cost of the education element of 7 out of authority placements.

3.10 SEN Extra District Placements (£64k under spend)

Over spend of £39k on top up funding for placements in other local authority maintained schools (based on 44 in year placements costing £261k) offset by additional income of £103k from other local authorities for pupils in Rotherham Schools. The budget is sufficient to fund 23 full time placements.

3.11 Education Health and Care Assessment Team (£11k under spend)

The under spend is due to a vacant team manager post.

3.12 Hearing Impaired Service (£39k underspend)

Staffing underspend of £43k due to in year staff vacancies. Additional income of £8k offset by an overspend of £4k on staff advertising and DBS checks, transport costs £1k, computer costs £4k and other supplies £3k. The outturn is based on 9 pupils at Bramley, 13 pupils at Wickersley and 289 pupils receiving support from the Peripatetic Team at the end of January 2016.

3.13 Visually Impaired Service (£10k underspend)

Staffing underspend of £16k due to in year vacancies and savings on superannuation costs for staff not contributing to the pension scheme. Additional Income from training £3k and supplies £1k. Offset by overspends on training costs £6k and advertising and DBS checks £3k and transport £1k. Forecast Outturn is based on an active caseload of 250 pupils which includes complex children.

3.14 Learning Support and Autism Communication Service (£32k underspend)

Staffing under spend of £46k due to slippage following new appointments to vacant posts and additional income of £37k to offset over spend on premises £4k, transport £2k, training £19k and supplies £26k.

3.15 Educated other than at school – Transport (£30k overspend)

The overspend consists of taxi costs of £12k and bus passes £18k.

Early Years Block (£431k underspend)

3.16 Private, Voluntary and Independent Education – 3 & 4 Year old funding (£327k underspend)

Underspend based on actual take up levels of the 15 hour entitlement to free early education. The budget has been revised to include the additional funding of £156k due from the DFE following an increase in pupil numbers in the January 2016 School and Early Years census data.

3.17 Early Years Participation Funding for 2 Year olds (£100k underspend)

Underspend based on actual take up levels of participation funding for disadvantaged 2 year olds in private, voluntary and independent provision. The budget has been revised to include the additional funding of £38k from the DFE following an increase in the pupil numbers in the January 2016 School and Early Years census data. Further slippage of £55k is as a result of delays in the tendering process for the purchase of a new Early Years IT system. Funding agreed to be carried forward to 2016/17.

3.18 Childcare Inclusion Support (£17k overspend)

Final over spend due to high levels of Inclusion Grant applications in Early Years and out of School Settings.

3.19 Early Years Payments and Grant Adjustments (£21k underspend)

This represents the balance of unallocated Early Years funding following fewer pupils accessing the 15 hour free entitlement in maintained and academy nursery and primary schools than anticipated in the original budget.

3.20 Proposed carry forward balances to 2016/17 are as follows.

Schools Block (£598k underspend)

- Increase of £538k in the Rates budget to cover potential re-valuations in 2016/17.
- The £83k underspend in Schools in Financial Difficulty Funding has been carried forward to 2016/17.
- Academy recoupment adjustment of £130k for Eastwood Village to be clawed back by the DFE in 2016/17.
- The Growth Fund budget reduction of £153k will offset over allocation in 2015/16.

Early Years Block (£431k underspend)

- Private, Voluntary and Independent Education (3 & 4 year old funding) addition to the budget of £361k to cover the additional cost of increasing the hourly rates to providers in 2016/17.
- Agreed £70k carry forward to fund the cost of the new Early Years IT system and associated costs in 2016/17

4. Options considered and recommended proposal

4.1 Not Applicable

5. Consultation

5.1 Budget Managers, Holders and Operators across CYPS

6. Timetable and Accountability for Implementing this Decision

6.1 Not Applicable

7. Financial and Procurement Implications

7.1 All financial details and implications are set out within section 3 of this report With more detailed information included within Appendix 1.

8. Legal Implications

8.1 No direct implications.

9. Human Resources Implications

9.1 No direct implications.

10. Implications for Children and Young People and Vulnerable Adults

10.1 No direct implications.

11 Equalities and Human Rights Implications

11.1 No direct implications.

12. Implications for Partners and Other Directorates

12.1 No direct implications.

13. Risks and Mitigation

13.1 At a time of economic difficulty and tight financial constraints, managing spend in line with the Council's Budget is paramount. Careful scrutiny of expenditure and income across all services and close budget monitoring therefore remain a top priority if the Council is to deliver both its annual and medium term financial plans while sustaining its overall financial resilience.

13.2 Principle risks and uncertainties relate to the 'needs led' nature of High Needs Budgets in relation to pupils with special educational needs.

14. Accountable Officer(s)

Karen Borthwick – Assistant Director of Education and Skills

Approvals Obtained from:

Head of Finance: Mark Chambers

APPENDIX 1

Total Schools Budget Monitoring 2015/16 as at 31st March 2016

	A	B	C	D	E	F	G	H	J	K
Description	Original Budget Allocation 1st April 2015 £'000	Academy Recoupment £'000	Revision to Initial Estimate/Grant Adjustments £'000	Budget Virements £'000	Estimated C/Fwd Balances from 2014/15 inc in original Budget £'000	Add Actual C/Fwd Balances from 2014/15 £'000	Total Adjustments £'000	Revised Budget Allocation 2015/16 31st March 16 £'000	Actual Spend 1st April to 31st March 16 £'000	Year End Variance (over spend +/- under spend -) £'000
Delegated Schools Budgets	99,998	-12,760	-407	213	-414	414	-12,954	87,044	87,044	0
School Rates	1,362	-3			-95	473	375	1,737	1,217	-520
Centrally Managed Services for Schools										
Virtual School for Children in Public Care	38						0	38	38	0
Education Welfare Central Attendance Team	14						0	14	14	0
Operational Safeguarding - CYP Standards and Development	11						0	11	11	0
Sexual Exploitation Team	11						0	11	11	0
School Effectiveness Service (including CLC's)	354			-20			-20	335	335	0
Training for Children with Medical Needs	11						0	11	11	0
Moving and Handling	11						0	12	12	0
SEN Transport to Extra District Schools	25						0	25	25	0
Young People's Service	6						0	6	6	0
Schools Contingency: Primary Schools in Financial Difficulty	75	-7		-30		46	8	83	0	-83
Schools Contingency: Servicing of Schools Forum	3						0	3	1	-2
Schools Contingency: Pupil Growth Fund	500			-164			-164	336	489	153
Schools Contingency: CLA Licences	205						0	205	189	-16
Schools Contingency: Centrally Retained Funding to Academy Schools	0		276				276	276	276	0
Schools Contingency: Academy Recoupment Adjustment (Eastwood Village)	0		130				130	130	0	-130
										0
TOTAL SCHOOLS BLOCK	102,624	-12,771	0	0	-509	933	-12,347	90,277	89,679	-598
Special Schools Delegated Budget	5,257		-269	5,636			5,367	10,623	10,623	0
Special Educational Needs (Top up funding for Special Schools and independent non maintained Special School placements)	6,107		1,301	-6,105	655	-578	-4,727	1,380	2,908	1,528
Primary Delegated - Exceptional Needs Top up Funding and Specialist Resource Units	1,323		-391	106			-286	1,037	1,037	0
Secondary Delegated - Exceptional Needs Top Up Funding and Specialist Resource Unit	605		-209	61			-148	456	456	0
Flanderwell Resource Unit	100			-1			-1	99	94	-5
Post 16-24 SEN Provision	1,648						0	1,648	1,511	-137
Special Educational Complex Needs - Statemented Placements - Out of Authority - Independent and non maintained Schools	1,979						0	1,979	1,411	-568
Social Care Residential Out of Authority Placements (Education costs)								0	321	321
SEN Extra District Placements	222						0	222	158	-64
Education, Health and Care Assessment Team	53						0	53	42	-11
Commissioning Team (SEND Placements)	35						0	35	35	0
Early Years ASD Support	76						0	76	71	-5
Hearing Impaired Service	637						0	637	598	-39
Visual Impaired Service	475						0	475	465	-10
Learning Support Service and Autism Communication Team (Inc READ Service)	588						0	588	556	-32
Portage	231						0	231	223	-8
Pupil Referral Units - Delegated Budget	2,240		-350	304			-45	2,195	2,195	0
Educated Other than at School - Transport	48						0	48	78	30
Home Tuition Service	140						0	140	145	5
										0
TOTAL HIGH NEEDS BLOCK	21,763	0	81	0	655	-578	159	21,922	22,927	1,005
Special Delegated Budget			0	1			1	1	1	
Nursery Delegated Budget	1,706			55			55	1,761	1,761	0
Primary Delegated Budget	4,062			-706			-706	3,356	3,356	0
Private, Voluntary and Independent Nursery Education (3 & 4 Year Olds Funding)	3,495		-309	129		360	179	3,675	3,348	-327
Early Years 2 Year Old Funding	4,848		-1,375	-339	-320	150	-1,884	2,963	2,863	-100
Childcare Inclusion Support	0					170	170	170	187	17
Early Years Academy Payments and Grant Adjustments	0		695	861			1,556	1,556	1,535	-21
										0
TOTAL EARLY YEARS BLOCK	14,111	0	-989	0	-320	680	-629	13,482	13,051	-431
TOTAL DEDICATED SCHOOLS GRANT	138,498	-12,771	-908	0	-174	1,036	-12,818	125,681	125,657	-24
EFA Post 16 Special Education	943		7				7	950	950	0
TOTAL SCHOOLS BUDGET	139,441	-12,771	-901	0	-174	1,036	-12,811	126,631	126,607	-24

Rotherham Total Schools Budget Monitoring Report for the period ending 30th September 2016.

Variations to the revised budget are outlined below.

Schools Block (£39k underspend)

- Underspend of £39k on copyright licences for Schools.

High Needs Block (£4.830m overspend)

- **SEN Placements and Top up Funding (£2.851m overspend)**

The out of authority independent non-maintained School placements budget is overspending by £1.634m, specialist educated otherwise packages of support £235k, specialist education equipment £31k, and forecast overspend on additional top up funding for exceptional needs individual assigned resources to Schools £976k, offset by pupil premium grant of £25k.

- **Post 16-24 SEN Provision (£478k overspend)**

Forecast overspend on post 16 element 3 top up funding £208k to Further Education Providers (229 in year placements costing £1.868m) and anticipated shortfall on income target of £270k from Clinical Commissioning Group.

- **SEN Complex Needs Placements (£522k overspend)**

Overspend of £401k on out of authority placements in independent non-maintained Special Schools for pupils with statements of SEN and Education, Health and Care Plans. Over spend of £63k on complex support packages and anticipated shortfall on the income target of £61k from Clinical Commissioning Group, offset by £3k Pupil premium grant.

- **Social Care out of Authority Placements (£498k overspend)**

High Needs Funding to be transferred to the Safeguarding and Children and Families placement budget to cover the cost of the education element of nine placements in out of authority independent provision.

- **SEN Extra District Placements (£302k overspend)**

Overspend of £250k on top up funding for placements in other Local authority maintained schools (based on 56 in year placements and 2 potential places costing £508k) and shortfall on income target of £52k on anticipated income recouped from other local authorities for pupils in Rotherham Schools.

- **Hearing Impaired Service (£5k underspend)**

Under spend on staffing of £1k due to in year staff vacancies and additional income £4k. Forecast outturn is based on 9 pupils in places at Bramley, 9 pupils at Wickersley and 325 pupils receiving support from the peripatetic Team at the end of August 2016).

- **Learning Support and Autism Communication Service (£25k overspend)**

Forecast shortfall on the income target on Autism Communication Team £35k, overspend on transport costs £4k (ACT) and training £1k offset by staff slippage £13k (ACT) and READ £2k.

- **Portage Service (£15k underspend)**
Underspend on staffing £18k due to vacant posts offset by additional spend on supplies £3k.
- **Educated other than at school – Staffing costs (£56k overspend)**
Staffing costs of Inclusion officers for children educated other than at School.
- **Educated other than at school – Transport (£7k underspend)**
Overspend on taxi provision of £6k offset by an underspend on bus passes of £13k for pupils within the PRU system.
- **Home Tuition Service (£61k underspend)**
A reduction in tutor costs of £57k, an additional £5k income from rechargeable pupil costs, offset by an overspend on supplies of £1k. Forecast based on 14 in year pupils accessing the service and 3 referrals pending. The budget was based on funding for 19 students.
- **Virtual School (£185k overspend)**
Forecast funding shortfall of Virtual School Team met from High Needs Block.

Early Years Block (no variation)

APPENDIX 1

Total Schools Budget Monitoring 2016/17 as at 30th September 2016

	A	B	C	D	E	F	G	H	I	J	K
Description	Original Budget Allocation 1st April 2016	Academy Recoupment	Revision to Initial Estimate/Grant Adjustments	Budget Virements	Estimated C/Fwd Balances from 2015/16 inc in original Budget	Add Actual C/Fwd Balances from 2015/16	Total Adjustments	Revised Budget Allocation 2016/17 30th September 16	Actual Spend 1st April to 30th September 16	Projected Outturn Position	Current Projected Year End Variance (over spend +/-)
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Delegated Schools Budgets	87,501	-9,857				0	-9,857	77,644	38,822	77,644	0
School Rates	1,191	0			-16	538	522	1,712	856	1,712	0
School Contingencies											
Primary Schools in Financial Difficulty	75					83	83	158	0	158	0
Servicing of Schools Forum	3						0	3	0	3	0
Pupil Growth Fund	800					-153	-153	647	585	647	0
CLA Licences	237						0	237	198	198	-39
Academy Recoupment Adjustment 2015/16	0	-130				130	0	0	0	0	0
TOTAL SCHOOLS BLOCK	89,807	-9,988	0	0	-16	598	-9,406	80,401	40,460	80,362	-39
Special Schools Delegated Budget	5,258	-1,727		2,784			1,058	6,316	3,158	6,316	0
Special Educational Needs (Top up funding for Special Schools and independent non maintained Special School placements)	7,781		-339	-2,784	996	-1,005	-3,133	4,648	4,160	7,499	2,851
Primary Delegated - Exceptional Needs Top up Funding and Specialist Resource Units	669		84				84	753	376	753	0
Secondary Delegated - Exceptional Needs Top Up Funding and Specialist Resource Unit	388		-31				-31	357	178	357	0
Flanderwell Resource Unit	85						0	85	43	86	1
Post 16-24 SEN Provision	1,369						0	1,369	1,140	1,847	478
Special Educational Complex Needs - Statemented Placements - Out of Authority - Independent and non maintained Schools	873						0	873	1,682	1,395	522
Social Care Out of Authority Placements - Education Element	0						0	0	249	498	498
SEN Extra District Placements	200						0	200	99	502	302
Education Health & Care Assessment Team	56						0	56	28	56	0
Commissioning Team (SEND Placements)	37						0	37	19	37	0
Early Years Inclusion Support Grants	210						0	210	96	210	0
Early Years ASD Support	76						0	76	36	76	0
Hearing Impaired Service	667						0	667	285	662	-5
Visual Impaired Service	520						0	520	248	520	0
Learning Support Service and Autism Communication Team (Inc READ Service)	637						0	637	333	662	25
Portage	239						0	239	107	224	-15
Pupil Referral Units - Delegated Budget	2,653		287				287	2,940	1,470	2,940	0
Educated Other than at School	0						0	0	39	56	56
Educated Other than at School - Transport	82						0	82	29	75	-7
Home Tuition Service	190						0	190	74	129	-61
Virtual School	0						0	0	102	185	185
							0	0			
TOTAL HIGH NEEDS BLOCK	21,991	-1,727	0	0	996	-1,005	-1,736	20,255	13,952	25,085	4,830
Nursery Delegated Budget	1,803		0	-125			-125	1,678	839	1,678	0
Primary Delegated Budget	3,537		0	-747			-747	2,790	1,395	2,790	0
Private, Voluntary and Independent Nursery Education (3 & 4 Year Olds Funding)	3,563		244			361	605	4,168	1,650	4,168	0
Early Years 2 Year Old Funding	2,429		50		0	70	120	2,549	834	2,549	0
Early Years Academy Payments and Grant Adjustments	1,463		0	872			872	2,335	663	2,335	0
							0	0			
TOTAL EARLY YEARS BLOCK	12,795	0	294	0	0	431	725	13,520	5,380	13,520	0
TOTAL DEDICATED SCHOOLS GRANT	124,593	-11,714	294	0	980	24	-10,417	114,176	59,793	118,967	4,791
EFA Post 16 Special Education	950		-496				-496	454	227	454	0
TOTAL SCHOOLS BUDGET	125,543	-11,714	-202	0	980	24	-10,913	114,630	60,020	119,421	4,791

Briefing for Schools Forum – October 2016The Proposed DfE Early Years National Funding Formula

The Department for Education (DfE) provide Early Years Dedicated Schools Grant (DSG) funding to Local Authorities (LA) to fund early years providers to deliver early education provision for all 3 and 4 year olds. All 3 and 4 year olds are entitled to 15 hours per week of early education for 38 weeks per year. This provision can be provided by any Ofsted registered early years provider such as private day nurseries, pre-schools and childminders (Private, Voluntary, Independent - PVI), and by academy and maintained schools. The funding provided by the DfE for this purpose to LAs is based on historical spending allocations and there is wide variation in the funding provided to LAs, and thus to providers. In addition, from September 2017 working parents will be entitled to a further 15 hours of childcare per week, making a total of 30 hours early education and childcare per week for 38 weeks per year.

The DfE are seeking to develop a national funding formula which provides fair and transparent funding to all LAs. They are also committed to providing additional funding to improve the funding rates for providers to ensure there is a *'strong and sustainable early years funding system that is fair, transparent and maximises funding to providers'* (page 3, consultation document). The DfE funding consultation proposals relate to both the universal 15 hours entitlement and the additional 15 hours for working families from September 2017.

The DfE launched a consultation on 11th August 2016 to consult on proposals for a new national funding formula. This consultation closed on 22nd September 2016. All schools, academies and PVI providers were alerted to this consultation via email. The DfE intend that the outcome of the consultation will be published in the Autumn term, with implementation from April 2017.

Given the tight timescale for an April 2017 implementation, the DfE have indicated that LAs should begin to consult with providers and plan indicative funding allocations based on the content of the consultation document and the tables published alongside the consultation providing indicative funding rates.

The Early Years and Childcare Service are currently consulting with providers on April 2017 funding rates based on the indicative information provided by the DfE through the consultation. The methods of consultation are:

- PVI provider owner/manager meeting
- Primary Headteachers meeting
- Childminder consultation group
- Email correspondence to all providers
- Early Education Working Group

Following publication of DfE funding allocations for April 2017, the final proposed funding rates for providers from April 2017 will be put to the Strategic Director of Children's Services via his Directorate Leadership Team meeting for approval and shared with Schools Forum.

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Briefing for Schools ForumSchools revenue funding 2017 to 2018

In July 2016 the Education Secretary announced that the implementation of the national funding formula had been delayed until 2018-19. For 2017-18 schools will be funded through their local formula

Details of the government's response to the first stage of consultation on Schools and High Needs funding will be published in the autumn along with second stage proposals.

DSG funding arrangements for 2017/18 - highlights

DSG Blocks - allocation of funding 2017/18: Using historic spend data, an exercise has been undertaken to realign funding to the three DSG blocks – Schools, High Needs and Early Years. Final allocations will be announced in December 2016, and will include the data from the October 2016 census. For the financial year 2017/18, it remains possible to move funding between the blocks.

2017/18 DfE notification 21st July 2016	Schools Block	Early Years	High Needs	Total
DfE Notified DSG Baseline 2016/17 as per 31st March 2016	£187,253,768	£9,416,000	£19,996,737	£216,666,505
Transfer of funds between blocks	£-2,989,864		£2,989,864	
RMBC Adjusted DSG Baseline 2016/17 as per 31st March 2016	£184,263,904	£9,416,000	£22,986,601	£216,666,505
New for 2017/18				
Inclusion of Retained Duties ESG	£646,262			
DFE Post 16 Adjustment			£1,064,000	
TOTAL	£184,910,166	£9,416,000	£24,050,601	£218,376,767

Schools Block: The Schools Block has been increased by £0.65m to cover costs arising from retained duties previously covered by the Education Services Grant (ESG) details of what is covered under this arrangement will be included in the DfE's consultation on The School and Early Years Finance Regulations, which is expected later this year. Local authorities will need to ensure sufficient funding is retained centrally to cover duties previously funded by the ESG retained rate.

School Budget Shares: The minimum funding guarantee will remain at -1.5% for 2017/18 which will continue to offer schools protection against changes in funding rates, but not falling rolls. The schools funding formula, with final funding allocations, must be submitted to the EFA by 20 January 2017.

High Needs Block: The High Needs Block has been increased by £1.64m, a transfer of post-16 place funding for high needs places in further education (FE) colleges. It is assumed that this will be recouped by the EFA to pay for current placements, so it is anticipated that there will be no financial impact in the short-term.

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Rotherham factors

Local Authority	Primary Amount Per Pupil (£)	Primary Proportion	KS3 Amount Per Pupil (£)	KS3 Proportion	KS4 Amount Per Pupil (£)	KS4 Proportion	FSM Primary Amount Per Pupil (£)	FSM Secondary Amount Per Pupil (£)	EAL Primary Amount Per Pupil (£)	EAL Secondary Amount Per Pupil (£)	Prior Attainment Primary Amount Per Pupil (£)	Prior Attainment Secondary Amount Per Pupil (£)	Primary Lump Sum (£)	Secondary Lump Sum (£)	PFI Funding Total (£)	Exceptional Circumstance 1 Total (£)	Capping Factor	% Distributed through Basic Entitlement	Primary/ Secondary Ratio
Barnsley	2,805.53	40.05%	3,394.22	16.45%	3,927.32	13.00%	663.59	663.59	0.00	0.00	736.52	1,133.85	100,000.00	100,000.00	6,790,867.24	0.00	0.00%	69.50%	1 : 1.25
Doncaster	2,948.52	40.55%	4,129.08	20.97%	4,713.85	16.32%	415.91	902.45	300.00	700.00	577.44	1,242.56	150,000.00	120,000.00	2,257,404.00	0.00	2.49%	77.84%	1 : 1.36
Dudley	3,124.85	41.28%	4,486.06	23.76%	4,486.06	16.63%	0.00	0.00	799.34	799.34	749.41	424.58	149,000.00	143,500.00	777,122.31	0.00	0.00%	81.67%	1 : 1.21
Hartlepool	2,735.13	35.76%	4,152.00	20.67%	4,152.00	14.60%	1,455.29	2,215.24	728.22	728.22	453.83	336.58	175,000.00	175,000.00	0.00	0.00	3.15%	71.03%	1 : 1.26
Redcar and Cleveland	2,730.00	34.22%	4,450.00	24.19%	4,450.00	16.90%	0.00	0.00	0.00	0.00	1,760.00	896.00	150,000.00	150,000.00	1,178,880.00	105,000.00	2.25%	75.31%	1 : 1.29
Rotherham	3,135.00	38.56%	4,973.00	25.78%	5,178.00	18.83%	548.00	625.00	367.00	367.00	537.00	430.00	117,000.00	117,000.00	3,823,224.00	245,700.00	0.71%	83.18%	1 : 1.42
St Helens	2,912.25	40.84%	3,813.32	20.15%	4,692.15	16.99%	1,081.94	1,859.90	0.00	0.00	635.24	599.29	100,000.00	100,000.00	50,000.00	0.00	1.50%	77.98%	1 : 1.32
Tameside	3,264.00	43.92%	4,678.00	24.03%	4,678.00	16.19%	352.00	447.00	950.93	1,426.40	418.00	559.00	100,000.00	100,000.00	400,001.00	0.00	0.00%	84.15%	1 : 1.30
Telford and Wrekin	2,720.14	39.29%	3,967.57	20.05%	4,364.33	15.54%	1,805.32	2,514.38	800.00	1,200.00	689.68	547.28	100,000.00	150,000.00	1,373,179.00	0.00	0.00%	74.88%	1 : 1.38
Wakefield	3,024.96	40.27%	4,193.77	21.54%	4,358.97	16.00%	675.93	675.93	466.00	1,130.00	716.45	900.00	116,000.00	116,000.00	0.00	81,200.00	1.47%	77.80%	1 : 1.25
Wigan	2,897.12	38.35%	4,091.90	21.62%	4,638.73	16.82%	882.00	1,052.00	466.00	1,130.00	669.00	994.00	150,000.00	150,000.00	0.00	0.00	0.00%	76.79%	1 : 1.29

NB. Exceptional Circumstances - Newly merged schools retain 85% of 2 lump sums in the first year following merger & 67.5% in second year. Third year only 1 lump sum,

Factors permissible but not used in Rotherham's formula

Local Authority	LAC Amount Per Pupil (£)	IDACI Primary B1 Amount Per Pupil (£)	IDACI Secondary B1 Amount Per Pupil (£)	IDACI Primary B2 Amount Per Pupil (£)	IDACI Secondary B2 Amount Per Pupil (£)	IDACI Primary B3 Amount Per Pupil (£)	IDACI Secondary B3 Amount Per Pupil (£)	IDACI Primary B4 Amount Per Pupil (£)	IDACI Secondary B4 Amount Per Pupil (£)	IDACI Primary B5 Amount Per Pupil (£)	IDACI Secondary B5 Amount Per Pupil (£)	IDACI Primary B6 Amount Per Pupil (£)	IDACI Secondary B6 Amount Per Pupil (£)
Barnsley	0.00	273.71	273.71	311.81	311.81	384.00	384.00	503.31	503.31	647.68	647.68	840.18	840.18
Doncaster	1,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Dudley	0.00	0.00	0.00	0.00	0.00	311.11	311.11	622.23	622.23	933.34	933.34	1,244.45	1,244.45
Hartlepool	777.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Redcar and Cleveland	600.00	500.00	500.00	500.00	500.00	500.00	500.00	700.00	700.00	700.00	700.00	775.00	775.00
Rotherham	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
St Helens	0.00	0.00	0.00	0.00	0.00	0.00	0.00	242.57	0.00	368.04	0.00	1,157.28	1,179.63
Tameside	832.25	0.00	0.00	104.00	130.00	156.00	195.00	260.00	325.00	312.00	390.00	364.00	455.00
Telford and Wrekin	900.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Wakefield	1,004.00	320.10	451.00	349.76	504.20	378.94	546.39	422.00	589.15	477.00	626.13	691.00	819.00
Wigan	1,004.00	209.00	289.00	260.00	379.00	347.00	470.00	422.00	554.00	477.00	614.00	691.00	819.00